



10-08-02

gp 2176

Due Date: October 5, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Neelakantan Sundaresan Examiner: Rachna Singh
Serial No.: 09/191,281 Group Art Unit: 2176
Filed: November 12, 1998 Docket: AM998157 (KQT)
Title: GENERATING VISUAL EDITORS FROM SCHEMA DESCRIPTIONS

RECEIVED
OCT 10 2002
Technology Center 210

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 171328962US

Date of Deposit: October 7, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

By: Isabell Ogata
Name: Isabell Ogata

Box NON-FEE RESPONSE

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.10.
- ☒ Response under 37 C.F.R. §1.111.
- ☒ Copies of: April 29, 1999 submission of: Information Disclosure Statement, Form 1449, and cited references, and return postcard acknowledging receipt on May 3, 1999 by the Office.
- ☒ Return postcard.

CLAIMS PRESENT

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
Total Claims				
69	69	0	x \$18.00	= \$0.00
Independent Claims				
3	3	0	x \$84.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all requisite fees to Deposit Account No. 09-0441 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

GATES & COOPER LLPHoward Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797By: George H. GatesName: George H. Gates
Reg. No.: 33,500
GHG/io

(PTO TRANSMITTAL - GENERAL)

COPY #8 10/15/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Neelakantan Sundaresan	Examiner:	Not yet assigned
Serial No.:	09/191,281	Group Art Unit:	2776
Filed:	November 12, 1998	Docket:	AM9-98-157
Title:	GENERATING VISUAL EDITORS FROM SCHEMA DESCRIPTIONS		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on April 29, 1999.

By: 

Name: George H. Gates

INFORMATION DISCLOSURE STATEMENT(37 C.F.R. §1.97(b))

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

Applicant directs the Examiner's attention to the undated publication reference entitled "Formalizing SGML and XML Instance with Forest Automata Theory" by Paul Prescod. This undated document is available to the public on the internet via at the following address: <http://www.prescod.net/forest/shortut/>. In this regard, Applicant also encloses the relevant portion from another web-site: OASIS: The SGML/XML Web Page in which Paul Prescod's technical paper is dated May 5, 1998. While there is a minor discrepancy in the article's title and a discrepancy regarding

the number of pages (i.e. the publication enclosed contains 9 pages, while the "OASIS" bibliography indicates that there are 16 pages), Applicant believes that the two documents may be the same and has indicated so on the Form 1449.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

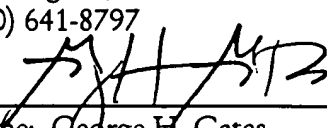
Respectfully submitted,

Neelakantan Sundaresan

By his attorneys,

GATES & COOPER
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: April 29, 1999

By: 
Name: George H. Gates
Reg. No.: 33,500
GHG/ab



COPY

RECEIVED

OCT 10 2002

Technology Center 2100

Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant: Neelakantan Sundaresan

Serial No.: 09/191,281

Title: GENERATING VISUAL EDITORS FROM SCHEMA DESCRIPTIONS

Docket: AM9-98-157

Due Date: May 12, 1999

Date of Mailing: April 29, 1999

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Information Disclosure Statement and Form PTO-1449.
- ☒ Cited Reference(s).
- ☒ Return postcard.

GHG/amb

G&C 30879.71US01

Patent

